

## XML Documents

Anders Møller & Michael I. Schwartzbach  
© 2006 Addison-Wesley

## Objectives

---

- What is **XML**, in particular in relation to HTML
- The **XML data model** and its **textual** representation
- The **XML Namespace** mechanism

## What is XML?

---

- XML: *Extensible Markup Language*
- A **framework** for defining markup languages
- Each language is targeted at its own **application domain** with its own markup tags
- There is a common set of **generic tools** for processing XML documents
- **XHTML**: an XML variant of HTML
- Inherently **internationalized** and **platform independent** (Unicode)
- Developed by W3C, standardized in 1998

## Recipes in XML

---

- Define our own “**Recipe Markup Language**”
- Choose markup tags that correspond to concepts in this application domain
  - *recipe*, *ingredient*, *amount*, ...
- No canonical choices
  - granularity of markup?
  - structuring?
  - elements or attributes?
  - ...

## Example (1/2)

```
<collection>
  <description>Recipes suggested by Jane Dow</description>

  <recipe id="r117">
    <title>Rhubarb Cobbler</title>
    <date>Wed, 14 Jun 95</date>

    <ingredient name="diced rhubarb" amount="2.5" unit="cup"/>
    <ingredient name="sugar" amount="2" unit="tablespoon"/>
    <ingredient name="fairly ripe banana" amount="2"/>
    <ingredient name="cinnamon" amount="0.25" unit="teaspoon"/>
    <ingredient name="nutmeg" amount="1" unit="dash"/>

    <preparation>
      <step>
        Combine all and use as cobbler, pie, or crisp.
      </step>
    </preparation>
```

An Introduction to XML and Web Technologies

5

## Example (2/2)

```
<comment>
  Rhubarb Cobbler made with bananas as the main sweetener.
  It was delicious.
</comment>

<nutrition calories="170" fat="28%"
            carbohydrates="58%" protein="14%"/>
  <related ref="42">Garden Quiche is also yummy</related>
</recipe>
</collection>
```

An Introduction to XML and Web Technologies

6

## Building on the XML Notation

- Defining the **syntax** of our recipe language
  - DTD, XML Schema, ...
- Showing recipe documents in **browsers**
  - XPath, XSLT
- Recipe collections as **databases**
  - XQuery
- Building a **Web-based** recipe editor
  - HTTP, Servlets, JSP, ...
- ...

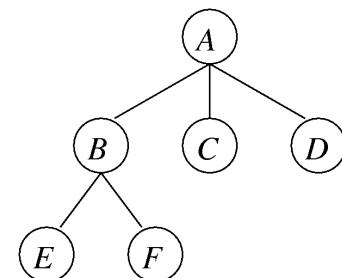
– the topics of the following weeks...

An Introduction to XML and Web Technologies

7

## XML Trees

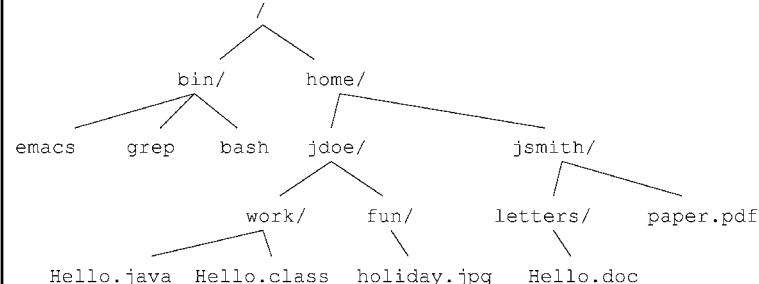
- Conceptually, an XML document is a **tree structure**
  - node, edge
  - root, leaf
  - child, parent
  - sibling (ordered), ancestor, descendant



An Introduction to XML and Web Technologies

8

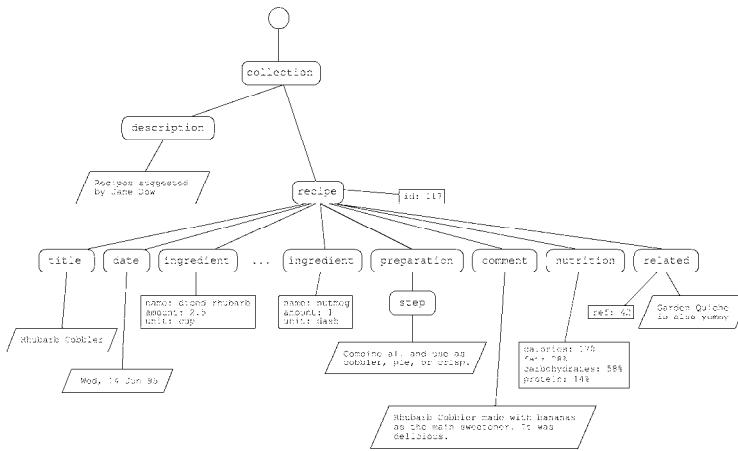
## An Analogy: File Systems



An Introduction to XML and Web Technologies

9

## Tree View of the XML Recipes



An Introduction to XML and Web Technologies

10

## Nodes in XML Trees

- **Text nodes:** carry the actual contents, leaf nodes
- **Element nodes:** define hierarchical logical groupings of contents, each have a *name*
- **Attribute nodes:** unordered, each associated with an element node, has a *name* and a *value*
- **Comment nodes:** ignorable meta-information
- **Processing instructions:** instructions to specific processors, each have a *target* and a *value*
- **Root nodes:** every XML tree has one root node that represents the entire tree

An Introduction to XML and Web Technologies

11

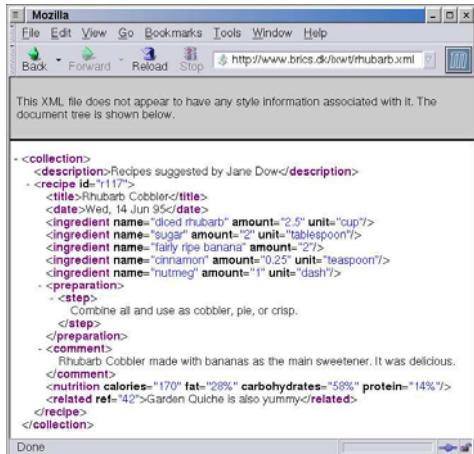
## Textual Representation

- **Text nodes:** written as the text they carry
- **Element nodes:** start-end tags
  - `<bla ...> ... </bla>`
  - short-hand notation for empty elements: `<bla/ >`
- **Attribute nodes:** `name=" value"` in start tags
- **Comment nodes:** `<! -- bla -->`
- **Processing instructions:** `<?target value?>`
- **Root nodes:** implicit

An Introduction to XML and Web Technologies

12

## Browsing XML (without XSLT)



A screenshot of a Mozilla Firefox browser window displaying an XML document. The title bar says "Mozilla" and the address bar shows "http://www.brics.dk/ixwt/rhubarb.xml". The page content area displays the XML code for a Rhubarb Cobbler recipe. The XML includes elements like <collection>, <description>, <title>, <date>, <ingredient>, <comment>, <nutrition>, and <related>. A note at the bottom states: "This XML file does not appear to have any style information associated with it. The document tree is shown below."

```
<!DOCTYPE features SYSTEM "example.dtd">
<features a="b">
  <mytool> here is some information specific to mytool ?
  El señor está bien, garçon!
  Copyright © 2005
  <![CDATA[ <this is not a tag> ]]>
  <!-- always remember to specify the
       right character encoding -->
</features>
```

An Introduction to XML and Web Technologies

13

## More Constructs

- XML declaration
- Character references
- CDATA sections
- Document type declarations and entity references explained later...
- Whitespace?

An Introduction to XML and Web Technologies

14

## Example

```
<?xml version="1.1" encoding="ISO-8859-1"?>
<!DOCTYPE features SYSTEM "example.dtd">
<features a="b">
  <mytool> here is some information specific to mytool ?
  El señor está bien, garçon!
  Copyright © 2005
  <![CDATA[ <this is not a tag> ]]>
  <!-- always remember to specify the
       right character encoding -->
</features>
```

An Introduction to XML and Web Technologies

15

## Well-formedness

- Every XML document must be **well-formed**
  - start and end tags must **match** and **nest** properly
    - <x><y></y></x> ✓
    - </z><x><y></x></y> ✗
  - exactly one **root element**
  - ...
- in other words, it defines a proper tree structure
- **XML parser:** given the textual XML document, constructs its tree representation

An Introduction to XML and Web Technologies

16

## Simpler Alternatives?

S-expressions, 1958:

```
(collection
  (recipe
    (title "Rhubarb Cobbler") (date "Wed, 14 Jun 95")
    ...
  )
)
```

- XML is defined as a simplified subset of SGML
- XML could have been designed simpler...
- ... but it wasn't [end of discussion]

An Introduction to XML and Web Technologies

17

## Applications

Rough classification:

- Data-oriented languages
- Document-oriented languages
- Protocols and programming languages
- Hybrids

An Introduction to XML and Web Technologies

18

## Example: XHTML

```
<?xml version="1.0" encoding="UTF-8"?>
<html xmlns="http://www.w3.org/1999/xhtml">
  <head><title>Hello world!</title></head>
  <body>
    <h1>This is a heading</h1>
    This is some text.
  </body>
</html>
```

An Introduction to XML and Web Technologies

19

## Example: CML

```
<molecule id="METHANOL">
  <atomArray>
    <stringArray builtIn="id">a1 a2 a3 a4 a5 a6</stringArray>
    <stringArray builtIn="elementType">C O H H H</stringArray>
    <floatArray builtIn="x3" units="pm">
      -0.748 0.558 ...
    </floatArray>
    <floatArray builtIn="y3" units="pm">
      -0.015 0.420 ...
    </floatArray>
    <floatArray builtIn="z3" units="pm">
      0.024 -0.278 ...
    </floatArray>
  </atomArray>
</molecule>
```

An Introduction to XML and Web Technologies

20

## Example: ebXML

```
<MultiPartyCollaboration name="DropShip">
  <BusinessPartnerRole name="Customer">
    <Performs initiatingRole='//binaryCollaboration[@name="Firm Order"]'/
      initiatingRole[@name="buyer"]' />
  </BusinessPartnerRole>
  <BusinessPartnerRole name="Retailer">
    <Performs respondingRole='//binaryCollaboration[@name="Firm Order"]'/
      RespondingRole[@name="seller"]' />
    <Performs initiatingRole='//binaryCollaboration[...]/
      initiatingRole[@name="buyer"]' />
  </BusinessPartnerRole>
  <BusinessPartnerRole name="DropShip Vendor">
    ...
  </BusinessPartnerRole>
</MultiPartyCollaboration>
```

An Introduction to XML and Web Technologies

21

## Example: ThML

```
<h3 class="s05" id="One. 2. p0. 2">Having a Humble Opinion of Self</h3>
<p class="First" id="One. 2. p0. 3">EVERY man naturally desires knowledge
<note place="foot" id="One. 2. p0. 4">
  <p class="Footnote" id="One. 2. p0. 5"><added id="One. 2. p0. 6">
    <name id="One. 2. p0. 7">Aristotle</name>, Metaphysics, i. 1.
  </added></p>
</note>
but what good is knowledge without fear of God? Indeed a humble
rustic who serves God is better than a proud intellectual who
neglects his soul to study the course of the stars.
<added id="One. 2. p0. 8"><note place="foot" id="One. 2. p0. 9">
  <p class="Footnote" id="One. 2. p0. 10">
    Augustine, Confessions V. 4.
  </p>
</note></added>
</p>
```

An Introduction to XML and Web Technologies

22

## XML Namespaces

```
<widget type="gadget">
  <head size="medium"/>
  <big><subwidget ref="gizmo"/></big>
  <info>
    <head>
      <title>Description of gadget</title>
    </head>
    <body>
      <h1>Gadget</h1>
      A gadget contains a big gizmo
    </body>
  </info>
</widget>
```

- When combining languages, element names may become **ambiguous!**
- Common problems call for common solutions

An Introduction to XML and Web Technologies

23

## The Idea

- Assign a URI to every (sub-)language
  - e.g. <http://www.w3.org/1999/xhtml> for XHTML 1.0
- Qualify element names with URIs:  
  
**{<http://www.w3.org/1999/xhtml>}head**

An Introduction to XML and Web Technologies

24

## The Actual Solution

- Namespace declarations bind URIs to prefixes

```
<... xml ns: foo="http://www.w3.org/TR/xhtml1">
  ...
  <foo: head>...</foo: head>
  ...
</...>
```

- Lexical scope
- Default namespace (no prefix) declared with `xml ns="..."`
- Attribute names can also be prefixed

## Widgets with Namespaces

```
<widget type="gadget" xml ns="http://www.widget.inc">
  <head size="medium"/>
  <big><subwidget ref="gizmo"/></big>
  <info xml ns: xhtml="http://www.w3.org/TR/xhtml1">
    <xhtml: head>
      <xhtml: title>Description of gadget</xhtml: title>
    </xhtml: head>
    <xhtml: body>
      <xhtml: h1>Gadget</xhtml: h1>
      A gadget contains a big gizmo
    </xhtml: body>
  </info>
</widget>
```

**Namespace map:** for each element, maps prefixes to URIs

## Summary

- XML: a notation for hierarchically structured text
- Conceptual tree model vs. concrete textual representation
- Well-formedness
- Namespaces

## Essential Online Resources

- <http://www.w3.org/TR/xml11/>
- <http://www.w3.org/TR/xml-names11>
- <http://www.unicode.org/>