#### **Postulates of Quantum Mechanics I**

Postulate 1 (state space): Associated to any *isolated* system is a complex vector space (i.e. Hilbert space) called the *state space*. The system is completely described by its *state vector*, which is a *unit vector* in the state space.

$$|0\rangle = \begin{pmatrix} 1\\0 \end{pmatrix}, |1\rangle = \begin{pmatrix} 0\\1 \end{pmatrix}, |+\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}}\\\frac{1}{\sqrt{2}} \end{pmatrix}, |-\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}}\\\frac{-1}{\sqrt{2}} \end{pmatrix}, |+\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle), |-\rangle = \frac{1}{\sqrt{2}} (|0\rangle - |1\rangle),$$

- Postulates of Quantum Mechanics II -----Postulate 2 (composite systems): The state space of a composite system is the *tensor product* of the components. If we have n systems  $|\psi_1\rangle, \ldots, |\psi_n\rangle$  then the joint state is

 $|\psi_1\rangle\otimes|\psi_2\rangle\otimes\ldots\otimes|\psi_n\rangle.$ 

The tensor product is the following operation on vectors,

$$\begin{array}{c} a_{1} \\ a_{2} \\ \vdots \\ a_{n} \end{array} \right) \otimes \begin{pmatrix} b_{1} \\ b_{2} \\ \vdots \\ b_{m} \end{array} \right) = \begin{pmatrix} a_{1}b_{1} \\ a_{1}b_{2} \\ \vdots \\ a_{1}b_{m} \\ \vdots \\ a_{n}b_{m} \end{pmatrix}$$

#### -More States -

Let us define a few states in the 4-dimensional Hilbert space  $\mathcal{H}_4$ :

$$|0+\rangle = |0\rangle \otimes |+\rangle = \begin{pmatrix} 1\\ 0 \end{pmatrix} \otimes \begin{pmatrix} \frac{1}{\sqrt{2}}\\ \frac{1}{\sqrt{2}} \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}}\\ \frac{1}{\sqrt{2}}\\ 0\\ 0 \end{pmatrix}$$

The following is a basis for  $\mathcal{H}_4$ :

$$|\beta_{00}\rangle = \frac{|00\rangle + |11\rangle}{\sqrt{2}}$$
$$|\beta_{01}\rangle = \frac{|01\rangle + |10\rangle}{\sqrt{2}}$$
$$|\beta_{10}\rangle = \frac{|00\rangle - |11\rangle}{\sqrt{2}}$$
$$|\beta_{11}\rangle = \frac{|01\rangle - |10\rangle}{\sqrt{2}}$$

Postulates of QM

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## - A Little More on Bras and Kets-

Let  $|\phi\rangle$  and  $|\psi\rangle$  be two unit vectors then:

• 
$$|\phi\rangle = \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}$$
 then  $\langle \phi | = (a_1^*, \dots, a_n^*).$ 

- $\langle \phi | \psi \rangle$  denotes the inner product between  $| \phi \rangle$  and  $| \psi \rangle$ .
- $|\phi\rangle\langle\psi|$  is an operator that maps  $|\psi\rangle\mapsto|\phi\rangle$ . In general, an arbitrary state  $|\lambda\rangle$  (belonging to the same space) is mapped to:

$$|\phi\rangle\langle\psi||\lambda\rangle = \langle\psi|\lambda\rangle|\phi\rangle.$$

•  $|\phi\rangle\langle\phi|$  is the projector operator along the state  $|\phi\rangle$ .

## Postulates of Quantum Mechanics III —

**Postulate 3 (evolution):** The evolution of a *closed* system is described by a *unitary transformation*. That is, the state  $|\psi\rangle$  at time  $t_1$  is related to the state  $|\psi'\rangle$  at time  $t_2$  by a unitary transform U,

$$|\psi'\rangle = U|\psi\rangle.$$

**NOTE 1:** Operator U (square matrix over the complex) is unitary if all columns (and rows) are orthonormal. Such transformation maps a basis into another one:

$$U: |e_i\rangle \mapsto |f_i\rangle,$$

where  $\langle e_i | e_j \rangle = \langle f_i | f_j \rangle = \delta_{i,j}$ .

**NOTE 2:** The complex conjuguate  $U^{\dagger}$  for unitary U is always such that  $U^{\dagger}U = \mathbb{I}$ .

Postulates of QM

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When  $U: |e_i\rangle \mapsto |f_i\rangle$  then U can be written as

$$U = \sum_{i} |f_{i}\rangle \langle e_{i}|$$
$$U^{\dagger} = \sum_{i} |e_{i}\rangle \langle f_{i}|$$

We easily see that  $U^{\dagger}$  is the inverse of U:

$$UU^{\dagger} = (\sum_{i} |f_{i}\rangle\langle e_{i}|)(\sum_{j} |e_{j}\rangle\langle f_{j}|$$
$$= \sum_{i,j} |f_{i}\rangle\langle e_{i}| |e_{j}\rangle\langle f_{j}|$$
$$= \sum_{i} |f_{i}\rangle\langle f_{i}| = \mathbb{I}.$$

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## - Complete Set of Unitary Evolutions

Any function  $f: \{0,1\}^n \to \{0,1\}^m$  can be computed by an unitary transform  $U_f$  as follows:

 $U_f|x\rangle|y\rangle = |x\rangle|y \oplus f(x)\rangle.$ 

Fact: If f is computable efficienctly by some algorithm then  $U_f$  can be implemented perfectly by an efficient quantum circuit.

**Thm:** The set of unitary transforms,

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}, T = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{pmatrix}, and \text{CNOT} = \begin{cases} |00\rangle & \mapsto & |00\rangle \\ |01\rangle & \mapsto & |01\rangle \\ |10\rangle & \mapsto & |11\rangle \\ |11\rangle & \mapsto & |10\rangle \end{cases}$$

is universal for quantum computation.

Postulates of QM

#### - Hadamard Transform -

The Hadamard transform is extremly important. It works as follows:

$$H: \left[ \begin{array}{ccc} |0\rangle & \mapsto & |+\rangle \\ |1\rangle & \mapsto & |-\rangle \end{array} \right] = \left[ \begin{array}{ccc} |+\rangle & \mapsto & |0\rangle \\ |-\rangle & \mapsto & |1\rangle \end{array} \right]$$

In general, for  $x \in \{0, 1\}^n$ :

$$H^{\otimes n}|x\rangle = 2^{-n/2} \sum_{z \in \{0,1\}^n} (-1)^{x \cdot z} |z\rangle.$$

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#### - More Useful Transformations -

$$X = \begin{cases} |0\rangle & \mapsto & |1\rangle \\ |1\rangle & \mapsto & |0\rangle \end{cases}, Z = \begin{cases} |+\rangle & \mapsto & |-\rangle \\ |-\rangle & \mapsto & |+\rangle \end{cases}, Y = \begin{cases} |0\rangle & \mapsto & |1\rangle \\ |1\rangle & \mapsto & -|0\rangle \end{cases}$$

are called:

- X is the **bit flip** operator,
- Z is the **phase flip** operator,
- Y = XZ is the **bit-phase flip** operator.

Notice that the **Hadamard** transform can be written as,

$$H = \frac{1}{\sqrt{2}}(X + Z).$$

This is not surprising since X, Y, Z, and  $\mathbb{I}$  form a basis for all 1-qubit operators.

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## – Postulates of Quantum Mechanics IV –

**Postulate 4 (measurement):** Quantum measurements are described by a collection  $\{M_m\}_m$  of *measurement operators*. These operators act on the *state space* of the system being measured. The index *m* is the meaurement outcomes. If the state before the mesurement is  $|\psi\rangle$  then the probability p(m) to observe outcome *m* is given by,

$$p(m) = \langle \psi | M_m^{\dagger} M_m | \psi \rangle = \operatorname{tr} \left( M_m^{\dagger} M_m | \psi \rangle \langle \psi | \right) \quad \text{and},$$
$$|\psi_m\rangle = \frac{M_m |\psi\rangle}{\sqrt{\langle \psi | M_m^{\dagger} M_m | \psi \rangle}} = \frac{M_m |\psi\rangle}{\sqrt{p(m)}}.$$

The measurement operators must satisfy the *completeness equation*:

$$\sum_{m} M_m^{\dagger} M_m = \mathbb{I}.$$

This ensures that,

$$1 = \sum_{m} p(m) = \sum_{m} \langle \psi | M_m^{\dagger} M_m | \psi \rangle = \langle \psi | \sum_{m} M_m^{\dagger} M_m | \psi \rangle = \langle \psi | \psi \rangle.$$

Postulates of QM

## **Projective Measurements**

A projective or Von Neumann measurement is defined by operators  $\{P_m\}_m$  where

- for all m,  $P_m$  is a projection (i.e.  $P_m^2 = P_m$ ),
- $P_m \perp P_{m'}$  for  $m \neq m'$ ,

Equivalently to  $\{P_m\}_m$  the observable  $M = \sum_m m P_m$  describes the measurement (we'll see later why). From **Postulate IV**, when  $|\psi\rangle$  is measured:

- $p(m) = \langle \psi | P_m^{\dagger} P_m | \psi \rangle = \langle \psi | P_m P_m | \psi \rangle = \langle \psi | P_m | \psi \rangle = || P_m | \psi \rangle ||^2$ ,
- $|\psi_m\rangle = P_m |\psi\rangle / \sqrt{p(m)}.$

#### **Examples:**

•  $Z = |0\rangle\langle 0| - |1\rangle\langle 1| \equiv \{|0\rangle\langle 0|, |1\rangle\langle 1|\},\$  $X = |+\rangle\langle +| - |-\rangle\langle -| \equiv \{|+\rangle\langle +|, |-\rangle\langle -|\}$  are measurements in the "+" and "×" basis respectively.

Postulates of QM

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**— Using Projective Measurements Setting:** Suppose a source is sending a qubit in state  $|0\rangle$  or  $|+\rangle$  each with probability  $\frac{1}{2}$ . **Problem:** Find the best projective measurement that either:

- Identifies the state received perfectly or,
- Outputs "I don't know".



The probability  $p_{id}$  to identify the state is  $p_{id} = \frac{1}{4}$ . This is the best over all projective measurements.

## **• POVMs formalism**

If one is only interested in the probability distribution for the outcomes of a measurement  $\{M_m\}_m$  then,

•  $\{E_m\}_m = \{M_m^{\dagger} M_m\}_m$  is all what is needed,

From **Postulate IV**, we define a POVM (Positive Operator-Valued Measurement) as,

**positivity:**  $\{E_m\}_m$  where  $E_m$ 's are all positive operators,

**completeness:**  $\sum_{m} E_{m} = \mathbb{I}.$ 

Suppose that  $\{E_m = U_m \Sigma U_m^{\dagger}\}_m$  is a set of positive operators where  $\Sigma$  is diagonal with non-negative elements. Then

$$\{M_m\}_m = \{U_m \sqrt{\Sigma} U_m^{\dagger}\}_m = \{\sqrt{E_m}\}_m$$

is a set of measurement operators with POVM  $\{E_m\}_m$ .

Postulates of QM

## - POVM's in action -

Suppose you want to solve the same problem than before. You want to maximize the probability to identify with certainty the state  $|0\rangle$   $|+\rangle$ . Consider the POVM,

$$E_{+} = \frac{\sqrt{2}}{1+\sqrt{2}}|1\rangle\langle 1|$$
$$E_{0} = \frac{\sqrt{2}}{1+\sqrt{2}}|-\rangle\langle -|$$
$$E_{?} = \mathbb{I} - E_{+} - E_{0}.$$

The POVM  $\{E_+, E_0, \mathbb{E}_?\}$  satisfies:

• 
$$\langle 0|E_+|0\rangle = \frac{\sqrt{2}}{1+\sqrt{2}}\langle 0|1\rangle\langle 1|0\rangle = 0,$$

• 
$$\langle +|E_0|+\rangle = \frac{\sqrt{2}}{1+\sqrt{2}}\langle +|-\rangle\langle -|+\rangle = 0,$$

• 
$$\langle 0|E_0|0\rangle = \langle +|E_+|+\rangle = \frac{\sqrt{2}}{1+\sqrt{2}} \|\langle +|1\rangle\|^2 = \frac{1}{\sqrt{2}(1+\sqrt{2})} \approx 0.2929.$$

Postulates of QM

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#### Evaluation in Superposition

Suppose  $U_f$  satisfies for any  $x \in \{0,1\}^n$  and  $y \in \{0,1\}^m$ :

 $\frac{U_f|x}{\otimes} |y\rangle \mapsto |x\rangle \otimes |y \oplus f(x)\rangle,$ 

for some  $f: \{0,1\}^n \mapsto \{0,1\}^m$ . Then,

$$\begin{array}{rcl} U_f(H^{\otimes n} \otimes \mathbb{I})|0\rangle \otimes |y\rangle & \mapsto & 2^{-n/2} \sum_{x \in \{0,1\}^n} U_f|x\rangle |y\rangle \\ & \mapsto & 2^{-n/2} \sum_{x \in \{0,1\}^n} |x\rangle |y \oplus f(x)\rangle. \end{array}$$

By calling  $U_f$  once, one gets f(x) computed for all  $z \in \{0,1\}^n$ . By measuring each register in the Z basis, one get a random z with its corresponding value f(z).

Postulates of QM

# - Deutsch-Josza Algorithm

Suppose  $f: \{0,1\}^n \to \{0,1\}$ , is garanteed to be either balanced or constant, you must determine which one. How many calls to  $U_f$  are required?

The following sequence of transformations allows to answer the question after measuring the first n qubits:

$$(H^{\otimes n} \otimes \mathbb{I}) U_f(H^{\otimes n} \otimes H) |0^n\rangle |1\rangle.$$

One can check this as follows:

$$(H^{\otimes n} \otimes \mathbb{I}) U_{f}(H^{\otimes n} \otimes H) |0^{n}\rangle |1\rangle = (H^{\otimes n} \otimes \mathbb{I}) \left(\sum_{x} \frac{U_{f}|x\rangle}{\sqrt{2^{n}}} \otimes |-\rangle\right)$$
$$= (H^{\otimes n} \otimes \mathbb{I}) \sum_{x} \frac{|x\rangle}{\sqrt{2^{n+1}}} (|f(x)\rangle - \left|\overline{f(x)}\right\rangle)$$
$$= (H^{\otimes n} \otimes \mathbb{I}) 2^{-n/2} \sum_{x} (-1)^{f(x)} |x\rangle |-\rangle$$
$$= \sum_{x} \sum_{z} 2^{-n} (-1)^{x \cdot z \oplus f(x)} |z\rangle |-\rangle.$$

Postulates of QM

## - Conclusion

After the application fo the algorithm we get:

$$\sum_{z} \sum_{x} 2^{-n} (-1)^{x \cdot z \oplus f(x)} |z\rangle |-\rangle.$$

If f(x) is constant then the state is

$$\sum_{z} (-1)^{f(0)} \left( \sum_{x} 2^{-n} (-1)^{x \cdot z} \right) |z\rangle |-\rangle$$

If f(x) is balanced then the amplitude associated to  $|0\rangle|-\rangle$  is:

$$\sum_{x} (-1)^{x \cdot 0^{n}} (-1)^{f(x)} |0\rangle |-\rangle = \sum_{x} (-1)^{f(x)} |0\rangle |-\rangle = 0 |0\rangle |-\rangle.$$

It follows that if f is balanced then  $|0\rangle$  cannot be observed whereas if f is constant then  $|0\rangle$  is always observed when the register is measured by  $\{|z\rangle\langle z|\}_{z\in\{0,1\}^n}$ . Classically, it is easy to verify that  $2^{n-1} + 1$  queries are necessary in worst case.

Postulates of QM

## - Conclusion

After the application fo the algorithm we get:

$$\sum_{z} \sum_{x} 2^{-n} (-1)^{x \cdot z \oplus f(x)} |z\rangle |-\rangle.$$

If f(x) is constant then the state is

$$\sum_{z} (-1)^{f(0)} \left( \sum_{x} 2^{-n} (-1)^{x \cdot z} \right) |z\rangle |-\rangle = \pm |0\rangle |-\rangle.$$

If f(x) is balanced then the amplitude associated to  $|0\rangle|-\rangle$  is:

$$\sum_{x} (-1)^{x \cdot 0^{n}} (-1)^{f(x)} |0\rangle |-\rangle = \sum_{x} (-1)^{f(x)} |0\rangle |-\rangle = 0 |0\rangle |-\rangle.$$

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Postulates of QM

# -No Cloning

Postulates I-III imply that arbitrary quantum states cannot be cloned. Assume for a contradiction that such a cloning machine U exists. For any  $|\psi\rangle$ , we have

```
U(|\psi\rangle\otimes|0
angle) = |\psi
angle\otimes|\psi
angle.
```

However, for any  $|\Psi\rangle$  and  $|\Phi\rangle,$  unitary transforms preserve the inner product,

 $\langle \Psi | U^{\dagger} U | \Phi \rangle = \langle \Psi | \Phi \rangle.$ 

But our *cloning machine* U satisfies:

 $\langle 0|\langle \psi|\phi\rangle|0\rangle = \langle 0|\otimes \langle \psi|\boldsymbol{U}^{\dagger}\boldsymbol{U}|\phi\rangle\otimes|0\rangle = \langle \psi|\otimes \langle \psi|\phi\rangle\otimes|\phi\rangle = \langle \psi|\phi\rangle^{2},$ 

which can only be satisfied for

$$\langle \psi | \phi \rangle = 0 \text{ or } \langle \psi | \phi \rangle = 1.$$

 $\Rightarrow$  Such U does not exist!

Postulates of QM

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## Ensembles of Quantum States -

Let  $\{(p_i, |\psi_i\rangle\}_i$  be an *ensemble of pure states* for  $\sum_i p_i = 1$ . The *density operator* or *density matrix* for the system is,

$$\rho = \sum_{i} p_i |\psi_i\rangle \langle \psi_i|.$$

Unitary evolution U on a state taken from the ensemble gives,

$$\rho = \sum_{i} p_{i} |\psi_{i}\rangle \langle\psi_{i}| \stackrel{U}{\mapsto} \sum_{i} p_{i} U |\psi_{i}\rangle \langle\psi_{i}| U^{\dagger} = U \rho U^{\dagger}.$$

Measurements  $\{M_m\}_m$  can be generalized the same way,

$$p(\mathbf{m}) = \sum_{i} p_{i} p(\mathbf{m} \mid i)$$
$$= \sum_{i} p_{i} \operatorname{tr} \left( M_{m}^{\dagger} M_{m} |\psi_{i}\rangle \langle \psi_{i} | \right)$$
$$= \operatorname{tr} \left( M_{m}^{\dagger} M_{m} \rho \right).$$

Postulates of QM

# -Density Operators Represent States

Suppose you have only access to particle B in state,

$$|\Psi\rangle^{AB} = \frac{1}{\sqrt{2}} (|0\rangle^{A} \otimes |0\rangle^{B} + |1\rangle^{A} \otimes |1\rangle^{B}).$$

What do you get?

$$\rho^{B} = \operatorname{tr}_{A}\left(|\Psi\rangle\langle\Psi|\right), |\Psi\rangle\langle\Psi| = \frac{1}{2}(|00\rangle\langle00| + |01\rangle\langle01| + |10\rangle\langle10| + |11\rangle\langle11|),$$

called the *partial trace* over A defined as, The partial trace is defined as follows:

 $\operatorname{tr}_{A}\left(|a_{1}\rangle\langle a_{2}|\otimes|b_{1}\rangle\langle b_{2}|\right) = \operatorname{tr}\left(|a_{1}\rangle\langle a_{2}|\right)|b_{1}\rangle\langle b_{2}| = \langle a_{1}|a_{2}\rangle|b_{1}\rangle\langle b_{2}|.$ 

Which results in,

$$\rho^{B} = \frac{1}{2} (\operatorname{tr}_{A} (|00\rangle\langle00|) + \operatorname{tr}_{A} (|11\rangle\langle00|) + \operatorname{tr}_{A} (|00\rangle\langle11|) + \operatorname{tr}_{A} (|11\rangle\langle11|)) = \frac{1}{2} (|0\rangle\langle0| + |1\rangle\langle1|) = \mathbb{I}/2 \equiv \{(1/2, |0\rangle), (1/2, |1\rangle)\}.$$

Postulates of QM

## Properties of Density Operators

**Theorem:** An operator  $\rho$  is the density operator associated to  $\{(p_i, |\psi_i\rangle)\}_i$  if and only if

trace condition:  $tr(\rho) = 1$ ,

**positivity:**  $\rho$  is a positive operator (An operator is positive if all its eigenvalues are non-negative real numbers).

The following theorem states the *unitary freedom in the ensemble for density matrices*. We shall write ensembles in a slightly different way:

$$\{(p_i, |\psi_i\rangle)\}_i \equiv \{\sqrt{p_i} |\psi_i\rangle\}_i \equiv \{\left|\tilde{\psi}_i\right\rangle\}_i.$$

**Theorem:** The ensembles  $\{|\tilde{\psi}_i\rangle\}_i$  and  $\{|\tilde{\phi}_i\rangle\}_i$  generate the same density matrix if and only if

$$\tilde{\psi}_i \Big\rangle = \sum_j u_{i,j} \Big| \tilde{\phi}_i \Big\rangle$$

for some unitary matrix  $\{u_{i,j}\}_{i,j}$  (where we pad the smallest ensemble with  $\vec{0}$  vector).

Postulates of QM

#### Unitary Freedom in Action.

- Let  $\{(1/2, |\mathbf{0}\rangle), (1/2, |\mathbf{+}\rangle)\} \equiv \{\frac{1}{\sqrt{2}}|\mathbf{0}\rangle, \frac{1}{\sqrt{2}}|\mathbf{+}\rangle\} \equiv \{|\tilde{\mathbf{0}}\rangle, |\tilde{\mathbf{+}}\rangle\}.$
- Let  $\{(\cos^2 \frac{\pi}{8}, |\beta_0\rangle), (\sin^2 \frac{\pi}{8}, |\beta_1\rangle)\} \equiv \{\cos \frac{\pi}{8} |\beta_0\rangle, \sin \frac{\pi}{8} |\beta_1\rangle\} \equiv \{|\tilde{\beta}_0\rangle, |\tilde{\beta}_1\rangle\}$  where  $\langle\beta_0|\beta_1\rangle = 0$ ,

$$|\beta_0\rangle = \cos\frac{\pi}{8}|0\rangle + \sin\frac{\pi}{8}|1\rangle = \cos\frac{\pi}{8}|+\rangle + \sin\frac{\pi}{8}|-\rangle$$
$$|\beta_1\rangle = \cos\frac{\pi}{8}|1\rangle - \sin\frac{\pi}{8}|0\rangle = -\cos\frac{\pi}{8}|-\rangle + \sin\frac{\pi}{8}|+\rangle.$$

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \Rightarrow \begin{vmatrix} \tilde{0} \rangle &= \frac{1}{\sqrt{2}} (\begin{vmatrix} \tilde{\beta}_0 \rangle - \begin{vmatrix} \tilde{\beta}_1 \rangle ) \\ \tilde{\beta}_0 \rangle + \begin{vmatrix} \tilde{\beta}_1 \rangle ) \\ \tilde{\beta}_1 \rangle ).$$

Not surprising since:

$$\rho = \frac{1}{2}|0\rangle\langle 0| + \frac{1}{2}|+\rangle\langle +| = \cos^2\frac{\pi}{8}|\beta_0\rangle\langle\beta_0| + \sin^2\frac{\pi}{8}|\beta_1\rangle\langle\beta_1|$$

Postulates of QM