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Rationally Additive Semirings

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Abstract: We define rationally additive semirings that are a generalization of (ω) -complete and (ω) -continuous semirings. We prove that every rationally additive semiring is an iteration semiring. Moreover, we characterize the semirings of rational power series with coefficients in N_∞ , the semiring of natural numbers equipped with a top element, as the free rationally additive semirings.

Key Words: semiring, complete semiring, iteration semiring, fixed point, power series.

Category: F.4.3

1 Introduction

Rationally additive semirings arise in [Mohri 1998]. Rationally additive semiring possess enough infinite sums to solve any finite system of linear fixed point equations. They are a common generalization of (ω) -complete and (ω) -continuous semirings [see Eilenberg 1974, Kuich 1987, Sakarovitch 1987, Kuich 1997] in which all (countable) sums exist. Two prime examples of rationally additive semirings are the semiring of rational (or regular) sets in A^* , where A is any set, and the semiring $N_\infty^{rat}\langle\langle A^* \rangle\rangle$ of rational power series over A with coefficients in N_∞ , the semiring of natural numbers with a top element ∞ .

In our main result, Theorem 10, we prove that every rationally additive semiring is an iteration semiring. This fact extends a result of [Hebisch 1990] by which every complete semiring is a Conway semiring. Iteration semirings appear implicitly in [Conway 1971]. They were explicitly defined in [Bloom, Ésik 1993a, 1993b]. Conway conjectured that a complete axiomatization of the equational theory of rational (regular) languages consists of the Conway semiring equations, defined below, together with the equation $1^* = 1$ and an equation associated with each finite group. Conway's conjecture was confirmed in [Krob 1991], see also [Ésik 1999]. In [Bloom, Ésik 1997], the authors conjectured that the valid equations of

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rational power series with coefficients in N_∞ , the semiring of natural numbers equipped with a top element, can be axiomatized by the iteration semiring equations and the equation $1^* = 1^{**}$. This conjecture is still open. In Theorem 15, we characterize the semirings of rational power series with coefficients in N_∞ as the free rationally additive semirings.

2 Conway semirings and iteration semirings

A **-semiring* is a semiring [see Kuich, Salomaa 1986, Golan 1992] $S = (S, +, \cdot, 0, 1)$ equipped with a star operation $*$: $S \rightarrow S$. A *Conway semiring* [Bloom, Ésik 1993b] is a *-semiring S which satisfies the *sum-star* and *product-star* equations

$$(x + y)^* = (x^*y)^*x^* \quad (1)$$

$$(xy)^* = 1 + x(yx)^*y. \quad (2)$$

Note that the *fixed point equation*

$$x^* = 1 + xx^* \quad (3)$$

holds in any Conway semiring. (Substitute 1 for y in (2).)

Suppose that S is a *-semiring and $n \geq 0$. We turn the matrix semiring $S^{n \times n}$ into a *-semiring. Let $M \in S^{n \times n}$. When $n = 0$, M^* is the unique 0×0 matrix, and when $M = [a]$, then $M^* = [a^*]$. Suppose now that $n > 1$. Write $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$, where a is $(n-1) \times (n-1)$ and d is 1×1 . We define

$$M^* = \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} \quad (4)$$

where

$$\begin{aligned} \alpha &= (a + bd^*c)^* \\ \beta &= abd^* \\ \gamma &= \delta ca^* \\ \delta &= (d + ca^*b)^*. \end{aligned}$$

Theorem 1. [Conway 1971, Bloom, Ésik 1993] *If S is a Conway semiring, then so is each matrix semiring $S^{n \times n}$. Moreover, the above matrix formula (4) holds for each way of splitting M into four blocks $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ such that a and d are square matrices.*

Suppose that G is a finite group of order n with elements g_1, \dots, g_n . For each g_i , let x_i denote a variable associated with g_i . We define $M_G = [(M_G)_{ij}]$, where $(M_G)_{ij}$ is the variable associated with the group element $g_i^{-1}g_j$, i.e., $(M_G)_{ij} = x_k$ where $g_k = g_i^{-1}g_j$. The matrix M_G^* is defined as in (4) above, so that each entry of M_G^* is a term in the variables x_1, \dots, x_n .

The *group-equation associated with G* [see Conway 1971] is the equation

$$e \cdot M_G^* \cdot u = (x_1 + \dots + x_n)^*,$$

where e is the $1 \times n$ row matrix whose first entry is 1 and whose other entries are 0, and where u is the $n \times 1$ column matrix whose entries are all 1. (Under the Conway semiring equations (1) and (2), the particular order g_1, \dots, g_n of the group elements is irrelevant.)

An *iteration semiring* [see Bloom, Ésik 1993, Ésik 1999] is a Conway semiring satisfying all group-equations.

Proposition 2. [Bloom, Ésik 1993b] *Any Conway semiring S satisfying the functorial implication*

$$AC = CB \Rightarrow A^*C = CB^*,$$

for all matrices $A \in S^{n \times n}$, $B \in S^{m \times m}$ and $C \in S^{n \times m}$, is an iteration semiring.

Notation For each nonnegative integer n , we denote the set $\{1, \dots, n\}$ by $[n]$. Thus, $[0]$ is another name for the empty set.

For any set Σ , we denote by Σ^* the free monoid of all words over Σ including the empty word ϵ . When S is semiring, $S\langle\langle A^* \rangle\rangle$ denotes the semiring of all power series over A with coefficients in S . Moreover, we let $S\langle A \rangle$ denote the collection of all finite sums of terms of the form sa with $s \in S$ and $a \in \Sigma$.

3 Rationally additive semirings

A *weak rationally additive semiring* is a semiring S equipped with a partial summation $\sum_{i \in I} s_i$ defined on countable families $s_i \in S$, $i \in I$ subject to the following conditions:

- **Ax₁**. When $s_i \in S$ for $i \in F$ and F is finite, then $\sum_{i \in F} s_i$ is the sum of the s_i as defined in the semiring S .
- **Ax₂**. For each $s \in S$, the geometric sum $\sum_{n=0}^{\infty} s^n$ exists.
- **Ax₃**. If $\sum_{i \in I} s_i$ exists, then so do $\sum_{i \in I} s s_i$ and $\sum_{i \in I} s_i s$, for each $s \in S$, moreover,

$$\begin{aligned} s\left(\sum_{i \in I} s_i\right) &= \sum_{i \in I} s s_i \\ \left(\sum_{i \in I} s_i\right)s &= \sum_{i \in I} s_i s. \end{aligned}$$

- **Ax₄**. Suppose that the countable set I is the disjoint union of the sets I_j , $j \in J$. Then for any family $s_i \in S$, $i \in I$, if $r_j = \sum_{i \in I_j} s_i$ exists for each $j \in J$, and if $r = \sum_{j \in J} r_j$ exists, then $\sum_{i \in I} s_i$ also exists and equals r .

A *rationally additive semiring* is a weak rationally additive semiring S that satisfies:

- **Ax₅**. Suppose that the countable set I is the disjoint union of the sets I_j , $j \in J$. Then for any family $s_i \in S$, $i \in I$, if $s = \sum_{i \in I} s_i$ exists and $r_j = \sum_{i \in I_j} s_i$ exist, for all $j \in J$, then $\sum_{j \in J} r_j$ exists and equals s .

Proposition 3. *Suppose that S is a weak rationally additive semiring.*

- *For any countable families s_i , $i \in I$ and r_j , $j \in J$, if $\sum_{i \in I} s_i = s$ and $\sum_{j \in J} r_j = r$ exist, then so does $\sum_{(i,j) \in I \times J} s_i r_j$. Moreover, $\sum_{(i,j) \in I \times J} s_i r_j = sr$.*
- *For any countable families $s_i \in S$ and $s'_j \in S$ with $i \in I$ and $j \in J$, if there is a bijection $\pi : I \rightarrow J$ with $s_i = s'_{i\pi}$, for all $i \in I$, then $\sum_{i \in I} s_i$ exists iff $\sum_{j \in J} s'_j$ does, in which case the two sums are equal.*
- *Any countable sum $\sum_{i \in I} s_i$ exists. Moreover, $\sum_{i \in I} 0 = 0$, i.e., any countable sum of 0 with itself is 0.*
- *For any countable family s_i , $i \in I$, if $\sum_{j \in J} s_j = r$ exists, where J is the set of all $i \in I$ with $s_i \neq 0$, then $\sum_{i \in I} s_i$ exists and equals r .*

Proof. The first claim follows from **Ax₃** and **Ax₄**. For the second, suppose that $\sum_{i \in I} s_i = s$ exists. Let $J_i = \{i\pi\}$, for each $i \in I$. Thus the sets J_i determine a partition of J . Each sum $\sum_{k \in J_i} s'_k = s'_{i\pi} = s_i$ exists, moreover, $\sum_{i \in I} s_i$ exists. Thus, by **Ax₄**, we have that $\sum_{j \in J} s'_j$ exists and equals $\sum_{i \in I} s_i$. In the same way, it follows that if $\sum_{j \in J} s'_j$ exists then $\sum_{i \in I} s_i$ also exists. For the third claim, assume first that $s = 1$. If I is finite with n elements, then $\sum_{i \in I} s = \sum_{i \in I} 1$ exists by **Ax₁**, and equals the usual n -fold sum of 1 with itself. Assume now that I is infinite. Then $\sum_{i \in I} s = \sum_{i \in I} 1 = \sum_{i=0}^{\infty} 1^i$ exists by **Ax₂**. Thus for any s , we have that $\sum_{i \in I} s = \sum_{i \in I} (s \cdot 1) = s(\sum_{i \in I} 1)$ exists. When s is 0, this sum is also 0. The last claim now follows from **Ax₄**. \square

Remark. When S is rationally additive, the converse of the last fact also holds, so that using the same notation, $\sum_{j \in J} s_j$ exists iff $\sum_{i \in I} s_i$ exists.

Suppose that S and S' are (weak) rationally additive semirings. A homomorphism $h : S \rightarrow S'$ is a semiring homomorphism that preserves all existing countable sums. Thus, if $\sum_{i \in I} s_i$ exists, where $s_i \in S$ for each $i \in I$, then so does $\sum_{i \in I} s_i h$ and $(\sum_{i \in I} s_i)h = \sum_{i \in I} s_i h$.

Example 1. A countably additive (or ω -complete) semiring is a rationally additive semiring S such that $\sum_{i \in I} s_i$ exists for all countable families $s_i \in S$, $i \in I$. For example, the power set semiring of a semiring is countably additive, where summation is defined by set union. An example of a rationally additive semiring which is not countably additive is the semiring of regular sets in A^* , where A is any set. In this semiring only those sums (unions) exist that are regular languages. An ω -continuous (or just continuous) semiring is a countably additive semiring which is naturally ordered and such that $\sum_{i \in I} s_i$ is the supremum of the finite sums $\sum_{i \in F} s_i$, for all finite subsets $F \subseteq I$. Since any countably additive semiring is rationally additive, so is any ω -continuous semiring. For more on complete and continuous semirings, the reader is referred to [Eilenberg 1974, Kuich 1987, Sakarovitch 1987, Kuich 1997].

Example 2. A prime example of a countably additive semiring is the semiring $N_\infty = \{0, 1, \dots, \infty\}$ obtained by adding a top element to the natural numbers N equipped with the following summation. For all $n_i \in N_\infty$, $i \in I$, where I is countable, define $\sum_{i \in I} n_i = \infty$ if $n_i = \infty$ for some i , or if $n_i > 0$ for infinitely many numbers i . Otherwise let $\sum_{i \in I} n_i$ be the ordinary sum. Note that all countable sums exist in N_∞ . Moreover, we have $x \cdot \infty = \infty \cdot x = \infty$ for all $x \neq 0$. We call the above countably additive structure on N_∞ the *standard countably additive structure*.

Remark. The same semiring S may sometimes be turned into a weak rationally additive semiring in several different ways. Suppose that we have a weak rationally additive structure on S with summation denoted \sum . Then there is a smallest weak rationally additive structure on S contained in \sum . If we denote the summation operation of this structure by \sum' , we have that $\sum'_{i \in I} s_i$ exists iff I is finite, or there is an element $s \in S$ such that for some linear order i_0, i_1, \dots of the set I , we have that $s_{i_n} = s^n$, for all $n \geq 0$, or there is a family s'_i , $i \in I$ and an element $s' \in S$ such that either $s_i = s'_i s'$ for all i or $s_i = s' s'_i$ for all i , or there exist disjoint sets I_j , $j \in J$ with $I = \cup_{j \in J} I_j$ such that $r_j = \sum'_{i \in I_j} s_j$ exists for each $j \in J$ and $\sum'_{j \in J} r_j$ exists. In either case, $\sum'_{i \in I} s_i$, when exists, is defined to be $\sum_{i \in I} s_i$. In the same way, each rationally additive structure on S contains a least rationally additive structure.

Remark. There exists a weak rationally additive semiring which is not rationally additive. For one example, take the (standard) countably additive semiring N_∞ defined above. It will be shown below in Corollary 14 that N_∞ has no other rationally additive structure properly included in the standard structure. On the other hand, consider the least weak rationally additive structure contained in it. Let \sum' denote the corresponding summation. Then there is only a countable number of sets $K \subseteq N$ such that $\sum'_{k \in K} k$ exists. Hence this weak additive semiring structure is not the standard countably additive structure.

In any (weak) rationally additive semiring, we define

$$\begin{aligned} * : S &\rightarrow S \\ s &\mapsto \sum_{n=0}^{\infty} s^n. \end{aligned}$$

It is clear that morphisms of (weak) rationally additive semirings preserve the $*$ -operation.

Proposition 4. *Any weak rationally additive semiring S is a Conway semiring.*

Proof. Suppose that $a, b \in S$ and let \bar{a} and \bar{b} denote distinct letters corresponding to a and b , respectively. Below we will use regular languages in $(\bar{a} + \bar{b})^*$ as index sets. For any word $\bar{w} \in (\bar{a} + \bar{b})^*$, let w denote the corresponding element in S . Since $(a + b)^* = \sum_{n=0}^{\infty} (a + b)^n$ exists, it follows by **Ax**₄ that $\sum_{\bar{w} \in (\bar{a} + \bar{b})^*} w$ also exists, and $(a + b)^* = \sum_{\bar{w} \in (\bar{a} + \bar{b})^*} w$. Let us partition $(\bar{a} + \bar{b})^*$ into the disjoint union of the sets $L_k = (\bar{a}^* \bar{b})^k \bar{a}^*$, $k \geq 0$. It follows by **Ax**₂ and **Ax**₃ that each

sum $\sum_{\bar{w} \in L_k} w$ exists, and $\sum_{\bar{w} \in L_k} w = (a^*b)^k a^*$. Thus, again by **Ax₂** and **Ax₃**, $\sum_{k=0}^{\infty} (a^*b)^k a^* = (a^*b)^* a^*$ exists. Since for each k we have $\sum_{\bar{w} \in L_k} w = (a^*b)^k a^*$, it follows from **Ax₄** that $\sum_{\bar{w} \in L} w = (a^*b)^* a^*$. Hence $(a+b)^* = \sum_{\bar{w} \in L} w = (a^*b)^* a^*$.

Also, $\sum_{k=0}^{\infty} a(ba)^k b = a(\sum_{k=0}^{\infty} (ba)^k) b = a(ba)^* b$ exists, hence by **Ax₄**, $(ab)^* = \sum_{k=0}^{\infty} (ab)^k = 1 + \sum_{k=0}^{\infty} a(ba)^k b = 1 + a(ba)^* b$. \square

Corollary 5. *The fixed point equation (3) holds in any weak rationally additive semiring.*

Proposition 6. *Any weak rationally additive semiring S satisfies $1^* = 1^{**}$, $1^* 1^* = 1^*$ and $1^* + 1^* = 1^*$.*

Proof. Equation $1^* + 1^* = 1^*$ follows from **Ax₄**. By Proposition 3,

$$1^* 1^* = \left(\sum_{i=0}^{\infty} 1 \right) \left(\sum_{i=0}^{\infty} 1 \right) = \sum_{i,j=0}^{\infty} 1 = \sum_{k=0}^{\infty} 1 = 1^*,$$

and by **Ax₃**,

$$1^* 1^* = \sum_{i=0}^{\infty} \sum_{j=0}^{\infty} 1.$$

Hence, $(1^*)^n = 1^*$, for all $n \geq 1$. Moreover,

$$1^{**} = 1 + \sum_{n=1}^{\infty} (1^*)^n = 1 + \sum_{n=0}^{\infty} 1^* = 1 + \sum_{n=0}^{\infty} \sum_{m=0}^{\infty} 1 = 1 + 1^* = 1^*,$$

where the last step follows from the fixed point equation. \square

Remark. In fact, the equations $1^* 1^* = 1^*$ and $1^* + 1^* = 1^*$ hold in any Conway semiring satisfying $1^{**} = 1^*$.

Suppose that S is a weak rationally additive semiring. Then, as shown above, S is a Conway semiring. Thus, by Theorem 9, the semirings $S^{n \times n}$, $n \geq 0$ are also Conway semirings. Moreover, for each decomposition of a matrix $A \in S^{n \times n}$ in the form $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$, where a and d are square matrices, we have

$$A^* = \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} \tag{5}$$

where

$$\begin{aligned} \alpha &= (a + bd^*c)^* \\ \beta &= \alpha b d^* \\ \gamma &= \delta c a^* \\ \delta &= (d + ca^*b)^*. \end{aligned}$$

Suppose now that S is rationally additive. We turn $S^{n \times n}$ into a rationally additive semiring. Suppose that $A_i \in S^{n \times n}$, $i \in I$ where I is countable. We say

that $\sum_{i \in I} A_i$ exists if $\sum_{i \in I} (A_i)_{jk}$ exists for all $j, k \in [n]$. Moreover, we define $(\sum_{i \in I} A_i)_{jk} = \sum_{i \in I} (A_i)_{jk}$, for each $j, k \in [n]$. We define the summation on countable families of matrices in $S^{n \times m}$, for $n, m \geq 0$ in the same way.

Proposition 7. *Suppose that S is rationally additive. If $A_i \in S^{n \times m}$, $i \in I$ such that $\sum_{i \in I} A_i$ exists, then for any $B \in S^{m \times p}$, $\sum_{i \in I} A_i B$ exists and equals $(\sum_{i \in I} A_i) B$.*

Proof. It suffices to prove the proposition for $p = 1$. We argue by induction on m . The case that $m = 0$ is trivial. When $m = 1$, the proposition holds by **Ax₃**. Suppose now that $m > 1$. Then let $m = m_1 + m_2$, where $m_1, m_2 < m$, and let us write $A_i = [a_i \ b_i]$, $i \in I$, and $B = \begin{bmatrix} x \\ y \end{bmatrix}$, where a_i is $n \times m_1$, etc. Let $a = \sum_{i \in I} a_i$ and $b = \sum_{i \in I} b_i$, so that $A = \sum_{i \in I} A_i = [a \ b]$. By the induction assumption, both $\sum_{i \in I} a_i x$ and $\sum_{i \in I} b_i y$ exist, moreover, $\sum_{i \in I} a_i x = ax$ and $\sum_{i \in I} b_i y = by$. Since **Ax₅** holds in S , it follows that $\sum_{i \in I} (a_i x + b_i y)$ exists and equals $ax + by$. Thus, $\sum_{i \in I} A_i B = (\sum_{i \in I} A_i) B$ exists. Note that only a weak form of **Ax₅** was used: the case when each set I_j is finite. \square

In the same way, we have:

Proposition 8. *Suppose that S is rationally additive. If $A_i \in S^{n \times m}$, $i \in I$ such that $\sum_{i \in I} A_i$ exists, then for any $B \in S^{p \times n}$, $\sum_{i \in I} B A_i$ exists and equals $B(\sum_{i \in I} A_i)$.*

Theorem 9. *When S is rationally additive, so is $S^{n \times n}$, for any $n \geq 0$. Moreover, for the star operation defined in (5), we have*

$$A^* = \sum_{k=0}^{\infty} A^k.$$

Proof. Our claims are clear for $n = 0, 1$. We proceed by induction on n . Assume that $n > 1$. It is clear that **Ax₁**, **Ax₄** and **Ax₅** hold in $S^{n \times n}$. The fact that **Ax₃** holds was shown above.

Suppose now that $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in S^{n \times n}$, where a, b, c, d are submatrices of A such that a and d are square matrices of size smaller than n . We want to show that $\sum_{k=0}^{\infty} A^k = A^*$, i.e., that $\sum_{k=0}^{\infty} A^k$ exists and

$$\sum_{k=0}^{\infty} A^k = \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix}$$

where α, β, γ and δ were given as above. We will only show that the submatrix of $\sum_{k=0}^{\infty} A^k$ at the left upper corner exists and equals α .

Consider the regular language $L = (\bar{a} + \bar{b}d^*c)^*$. Then L is the union of the disjoint sets L_1^k , $k \geq 0$, where $L_1 = \bar{a} + \bar{b}d^*c$. By the induction assumption,

$$a + bd^*c = a + b\left(\sum_{j=0}^{\infty} d^j\right)c = a + \sum_{j=0}^{\infty} bd^j c = a + \sum_{\bar{w} \in \bar{b}d^*c} w = \sum_{\bar{w} \in L_1} w.$$

Hence, by Proposition 7 and Proposition 8,

$$(a + bd^*c)^2 = \left(\sum_{\bar{w} \in L_1} w \right) \left(\sum_{\bar{w} \in L_1} w \right) = \sum_{\bar{u}, \bar{v} \in L_1} uv = \sum_{\bar{w} \in L_1^2} w,$$

since each word in L_1^2 has a unique factorization as a product of two words in L_1 . In the same way, it follows that

$$(a + bd^*c)^k = \sum_{\bar{w} \in L_1^k} w,$$

for all $k \geq 0$. Thus, by the induction assumption,

$$(a + bd^*c)^* = \sum_{k=0}^{\infty} (a + bd^*c)^k = \sum_{k=0}^{\infty} \sum_{\bar{w} \in L_k} w = \sum_{\bar{w} \in L} w.$$

In particular, $\sum_{\bar{w} \in L} w$ exists. Now let us write $A^k = \begin{bmatrix} a_k & b_k \\ c_k & d_k \end{bmatrix}$, $k \geq 0$. To complete the proof, we need show that $\sum_{k=0}^{\infty} a_k$ exists and equals $\sum_{\bar{w} \in L} w$. But for each k , $a_k = \sum_{\bar{w} \in L, |\bar{w}|=k} w$. Thus, since **Ax**₅ holds by the induction assumption, $\sum_{k=0}^{\infty} a_k$ exists and equals $\sum_{\bar{w} \in L} w$. (Again note that only the weak form of **Ax**₅ when the sets I_j are finite has been used.) \square

Theorem 10. *Any rationally additive semiring S is an iteration semiring satisfying $1^* = 1^{**}$.*

Proof. We have already proved that any rationally additive semiring S is a Conway semiring and satisfies $1^* = 1^{**}$. The fact that the group-equations hold follows from the functorial implication, see Proposition 2, which can be established as follows. Suppose that $A \in S^{n \times n}$, $B \in S^{m \times m}$ and $C \in S^{n \times m}$ with $AC = CB$. Then $A^k C = CB^k$, for all $k \geq 0$. Thus, by Propositions 7 and 8,

$$A^* C = \left(\sum_{k=0}^{\infty} A^k \right) C = \sum_{k=0}^{\infty} A^k C = \sum_{k=0}^{\infty} CB^k = C \left(\sum_{k=0}^{\infty} B^k \right) = CB^*.$$

\square

Assume that S is a rationally additive semiring and A is a set. We turn the power series semiring $S\langle\langle A^* \rangle\rangle$ into a rationally additive semiring. For any countable family $r_i \in S\langle\langle A^* \rangle\rangle$, $i \in I$, we say that $\sum_{i \in I} r_i$ is defined if the sum $\sum_{i \in I} (r_i, u)$ is defined for all $u \in A^*$. Moreover, in this case, we let $(\sum_{i \in I} r_i, u) = \sum_{i \in I} (r_i, u)$.

Proposition 11. *Suppose that S is a rationally additive semiring and A is a set. Then $S\langle\langle A^* \rangle\rangle$ is also a rationally additive semiring.*

Proof. We only show that \mathbf{Ax}_2 and \mathbf{Ax}_3 hold in $S\langle\langle A^* \rangle\rangle$. So suppose that $r \in S\langle\langle A^* \rangle\rangle$. We clearly have that

$$\sum_{n=0}^{\infty} (r^n, \epsilon) = \sum_{n=0}^{\infty} (r, \epsilon)^n = (r, \epsilon)^*.$$

Suppose now that $u \neq \epsilon$. Then $(r^n, u) = \sum_{u_1 \dots u_n = u} (r, u_1) \dots (r, u_n)$. Thus, by \mathbf{Ax}_5 , $\sum_{n=0}^{\infty} (r^n, u)$ exists if the sum $\sum_{u_1 \dots u_n = u, n \geq 0} (r, u_1) \dots (r, u_n)$ does. But this latter sum indeed exists. This can be seen as follows. For each fixed $u_1, \dots, u_k \neq \epsilon$ with $u_1 \dots u_k = u$,

$$\sum_{m_0, \dots, m_k \geq 0} (r, \epsilon)^{m_0} (r, u_1) \dots (r, u_k) (r, \epsilon)^{m_k} = (r, \epsilon)^* (r, u_1) \dots (r, u_k) (r, \epsilon)^*$$

exists. Since $\sum_{u_1 \dots u_n = u} (r, u_1) \dots (r, u_n)$ is just a finite sum of sums of this form, it follows by \mathbf{Ax}_4 that this sum also exists. Again, only a weak form of \mathbf{Ax}_5 has been used. \square

The following fact is clear.

Proposition 12. *Suppose that S is a (weak) rationally additive semiring and S' is a subsemiring of S which is closed under $*$. Say that $\sum_{i \in I} s_i$ exists in S' , where $s_i \in S'$ for all $i \in I$, if $\sum_{i \in I} s_i$ exists in S and belongs to S' , and in that case, let the sum in S' be the same as in S . Then S' is also a (weak) rationally additive semiring.*

When S is a $*$ -semiring and $B \subseteq S$, the B -rational elements of S are those contained in the $*$ -semiring generated by B . Thus the B -rational elements form a $*$ -semiring denoted $\text{Rat}_S(B)$, or just $\text{Rat}(B)$. Let S be rationally additive and let A be a set. Then, as shown above, $S\langle\langle A^* \rangle\rangle$ is also rationally additive and each $a \in A$ and $s \in S$ can be conveniently identified with a series in $S\langle\langle A^* \rangle\rangle$. We denote $S^{\text{rat}}\langle\langle A^* \rangle\rangle = \text{Rat}_{S\langle\langle A^* \rangle\rangle}(A \cup S)$.

The countably additive semiring N_∞ was defined above.

Proposition 13. *Suppose that S is rationally additive. Then there is a unique morphism $N_\infty \rightarrow S$.*

Proof. Clearly, any morphism $h : N_\infty \rightarrow S$ is forced to map each integer n to the n -fold sum of 1 with itself and ∞ to 1^* . The fact that this function is in turn a morphism will follow by Remark 3 once we prove that for any countably infinite family $n_i, i \in I$ of nonzero elements of N_∞ , the sum $\sum_{i \in I} n_i h$ exists in S and equals 1^* . But this follows by Proposition 3. \square

Corollary 14. *There exists no rationally additive semiring structure on N_∞ properly included in the standard structure.*

Theorem 15. *For each set A , $N_\infty^{\text{rat}}\langle\langle A^* \rangle\rangle$ is freely generated by A in the class of rationally additive semirings.*

Proof. We need to show that if S is a rationally additive semiring and h is a function $A \rightarrow S$, then h has a unique extension to a morphism $h^\sharp : N_\infty^{\text{rat}}\langle\langle A^* \rangle\rangle \rightarrow S$ of rationally additive semirings. Suppose that $r \in N_\infty^{\text{rat}}\langle\langle A^* \rangle\rangle$. We are forced to define

$$rh^\sharp = \sum_{(r,u) \neq 0} (r,u)uh, \quad (6)$$

where for any word $u = a_1 \dots a_n \in A^*$ of length n , we define $uh = (a_1h) \cdot \dots \cdot (a_nh)$. Note that the coefficient (r,u) of uh in (6) is taken in S . This is meaningful, since to each integer n there corresponds in S the n -fold sum of 1 with itself, and to ∞ the element 1^* . See Proposition 13.

In a natural way, we may extend h to a function $N_\infty\langle A \rangle \rightarrow S$, and then to a function $(N_\infty\langle A \rangle)^{n \times n} \rightarrow S^{n \times n}$, for each $n \geq 0$. For each $n \in N_\infty$ and $a \in A$ we define $(na)h = n(ah)$. For a finite sum $\sum_{i \in F} n_i a_i$, we define $(\sum_{i \in F} n_i a_i)h = \sum_{i \in F} n_i(a_i h)$.

We must show that the sum on the right-hand side of (6) exists. Since r is rational, by (a generalization of) Schützenberger’s theorem [see Bloom, Ésik 1993b], there exists $\alpha \in N_\infty^{1 \times n}$, $M \in N_\infty\langle A \rangle^{n \times n}$ and $\beta \in N_\infty^{n \times 1}$ with $r = \alpha M^* \beta$. Now, by Theorem 9 and Propositions 7 and 8, we have that $\alpha(Mh)^* \beta = \sum_{k=0}^\infty \alpha(Mh)^k \beta$ exists. But for each k ,

$$\alpha(Mh)^k \beta = \sum_{|u|=k, (r,u) \neq 0} (r,u)uh.$$

Thus, by **Ax**₄, the right-hand side of (6) exists and equals $\alpha(Mh)^* \beta$.

Note that for any finite set $B \subseteq A$ such that $u \in B^*$ holds for all words $u \in A^*$ with $(r,u) \neq 0$, i.e., such that $\text{supp}(r) \subseteq B^*$, we have that $rh^\sharp = \sum_{u \in B^*} (r,u)uh$. We use this fact in our proof that h^\sharp preserves all existing sums. Suppose that $r_i \in N_\infty^{\text{rat}}\langle\langle A^* \rangle\rangle$, $i \in I$ such that $\sum_{i \in I} r_i$ exists in $N_\infty^{\text{rat}}\langle\langle A^* \rangle\rangle$, so that $\sum_{i \in I} r_i$ is rational. Since $r = \sum_{i \in I} r_i$ is rational, there is a finite set $B \subseteq A$ with $\text{supp}(r) \subseteq B^*$. Clearly then, $\text{supp}(r_i) \subseteq B^*$ for all $i \in I$. By **Ax**₄ and **Ax**₅, the fact that $\sum_{i \in I} r_i h^\sharp$ exists and equals rh^\sharp will follow if we can show that the sum $\sum_{u \in B^*, i \in I} (r_i, u)uh$ exists and equals rh^\sharp . This in turn will hold if for each fixed $u \in B^*$,

$$\sum_{i \in I} (r_i, u)uh = \left(\sum_{i \in I} (r_i, u) \right) uh$$

exists and is equal to $(r,u)uh$. But by Proposition 13, the sum $\sum_{i \in I} (r_i, u)$ exists in S , and equals (r,u) . \square

Corollary 16. *There is no rationally additive structure on $N_\infty^{\text{rat}}\langle\langle A^* \rangle\rangle$ properly contained in the rationally additive structure inherited from the countably additive structure on $N_\infty\langle\langle A^* \rangle\rangle$.*

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