

# XACT

## Type-Safe XML Transformations in Java



# What is XACT?

XACT is an API for writing XML transformations in Java

Building on Java, we get

- the strength of a general-purpose programming language
- a rich and well-known standard library
- platform independence

XACT adds the following features:

- **XML data** as first-class Java values, with high-level operations for data manipulation
- efficient **runtime** model
- **compile-time validation** of transformed XML documents

# XML templates

XML data is represented as *templates*

- well-formed XML fragments
- contain **gaps** (named / Java expressions)
- **first-class values**
- **immutable**

```
XML x =
  [[ <h:html>
    <h:head>
      <h:title><[TITLE]></h:title>
    </h:head>
    <h:body bgcolor={color}>
      <h:h1><[TITLE]></h:h1>
      <[NAME]>
    </h:body>
  </h:html> ]];
```

# Operations on XML templates

- **parseTemplate** – constructs template from constant string (syntactic sugar: `[[ ... ]]`)
- **parseDocument** – imports XML data
- **toTemplate/toDocument** – exports XML data
- **plug** – inserts templates or strings into gaps
- **get** – selects subtemplates (using **XPath**)
- **gapify** – converts subtrees to gaps
- **validate** – runtime check of validity (like type cast)
- **analyze** – *compile-time* check for validity
- ...

# Operations on XML templates

- **append**
- **prepend**
- **has**
- **remove**
- **set**
- **...**



DOM-like operations  
(but still immutable!)

XACT unifies the *template* approach and the *DOM* approach

# Example: PhoneList

```
<cardlist xmlns="http://businesscard.org">
  <card>
    <name>John Doe</name>
    <email>john.doe@widget.inc</email>
    <phone>(202) 555-1414</phone>
  </card>
  <card>
    <name>Zacharias Doe</name>
    <email>zach@notmail.com</email>
  </card>
  <card>
    <name>Jack Doe</name>
    <email>jack@mailorder.edu</email>
    <email>jack@geemail.com</email>
    <phone>(202) 456-1414</phone>
  </card>
</cardlist>
```



## My Phone List

- **John Doe**, phone: (202) 555-1414
- **Jack Doe**, phone: (202) 456-1414

The following solution isn't the simplest possible, but it shows a lot of XACT features...

# Example: PhoneList (1/4)

```
import java.io.*;
import dk.brics.xact.*;

public class PhoneList {
    static {
        XML.getNamespaceMap().put("h", "http://www.w3.org/1999/xhtml");
        XML.getNamespaceMap().put("b", "http://businesscard.org");
        XML.getNamespaceMap().put("s", "http://www.w3.org/2001/XMLSchema");
        XML.loadXMLSchema("file:xhtml1-transitional.dtd");
        XML.loadXMLSchema("file:bcard.xsd");
    }

    ...
}
```

Namespaces and schemas are specified declaratively

## Example: PhoneList (2/4)

```
public static void main(String[] args)
    throws XMLException, IOException {
    Phonenumber pp = new Phonenumber();
    pp.setDefaultWrapper("white");
    XML cardlist = XML.parseDocument(new URL("file:bcard.xml"))
        .validate("b:cardlist");

    XML xhtml = pp.transform(cardlist);
    xhtml = xhtml.analyze("h:xhtml");
    System.out.println(xhtml.toDocument());
}
```

## Example: PhoneList (3/4)

XML wrapper;

```
private void setDefaultWrapper(String color) {  
    wrapper = [[<h:html>  
        <h:head>  
            <h:title><[TITLE]></h:title>  
        </h:head>  
        <h:body bgcolor={color}>  
            <h:h1><[TITLE]></h:h1>  
            <[MAIN]>  
        </h:body>  
    </h:html>]];  
}
```

## Example: PhoneList (4/4)

```
public XML transform(XML cardlist) {
    return wrapper.plug("TITLE", "My Phone List")
        .plug("MAIN", makeList(cardlist));
}

public XML makeList(XML cardlist) {
    XML r = [[<h:ul><[CARDS]></h:ul>]];
    for (Element c : cardlist.getElements("b:card[b:phone]")) {
        r = r.plug("CARDS", [[
            <h:li>
                <h:b><{ c.getString("b:name") }></h:b>,
                phone: <{ c.getString("b:phone") }>
            </h:li>
            <[CARDS]>
        ]]);
    }
    return r.close();
}
```

## Example: PhoneList (4/4)

```
public XML transform(XML cardlist) {
    return wrapper.plugin("TITLE", "My Phone List")
        .plugin("MAIN", makeList(cardlist));
}

public XML makeList(XML cardlist) {
    XML r = new XML("cards");
    for (Element e : cardlist.elements("phone")) {
        r = r.appendElement("li", "phone", e);
    }
    return r.close();
}
```

**XACT analysis:**

**VALID!**

# Catching errors with the program analyzer

```
...  
XML r = [[      <[CARDS]>      ]]);  
...
```

\*\*\* validation error

Source: element

{http://www.w3.org/1999/xhtml}body at  
PhoneList line 41 column 31

Schema: file:xhtml1-transitional.dtd line  
913 column 26

Error: invalid child:

{http://www.w3.org/1999/xhtml}li

# Typed gaps

```
<h:html>
  <h:head>
    <h:title><[s:string TITLE]></h:title>
  </h:head>
  <h:body bgcolor={color}>
    <h:h1><[s:string TITLE]></h:h1>
    <[h:Flow MAIN]>
  </h:body>
</h:html>
```

A ***typed*** gap can only be plugged with a valid value

# Optional type annotations

- Declares a variable holding *any* XML template:

```
XML foo;
```

- **Annotated** type:

```
@Type("S") XML foo;
```

– the value of foo must have schema type  $S$

- Annotated type **with gaps**:

```
@Type("S[ $\tau_1$   $g_1$ , ...,  $\tau_n$   $g_n$ ]" ) XML foo;
```

– the value of foo must have schema type  $S$   
if every gap  $g_i$  is plugged with a value of type  $\tau_i$

## Example: PhoneList2

```
public @Type("h:html[s:string TITLE, h:Flow MAIN]") XML wrapper;
```

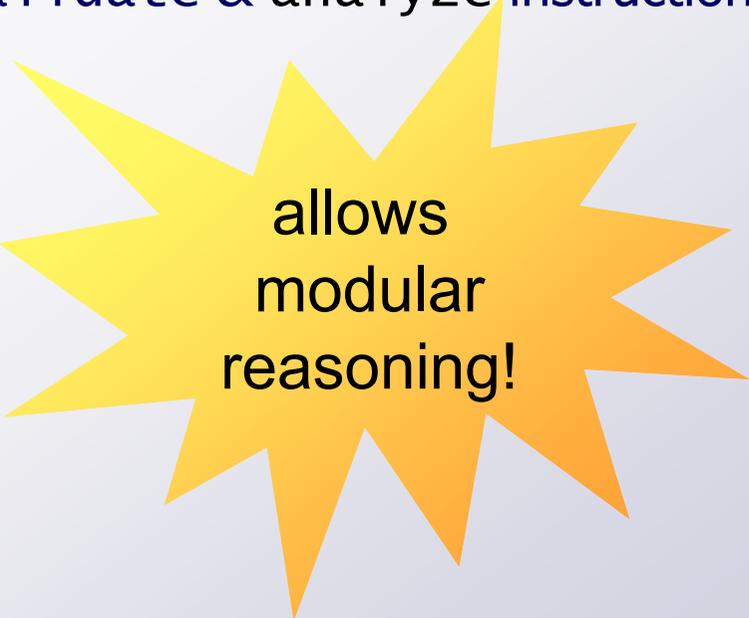
Now using an **annotated** XML type



## Example: PhoneList2

```
public @Type("h:html") XML transform(@Type("b:cardlist") XML cardlist) {  
    return wrapper.plugin("TITLE", "My Phone List")  
        .plugin("MAIN", makeList(cardlist));  
}
```

Annotated XML types are implicit validate & analyze instructions



allows  
modular  
reasoning!

# Runtime representation of XML templates

- Obtaining reasonable **runtime efficiency** of the XML template operations is not trivial (because of immutability)
- ... but it's possible 😊

# The XACT program analyzer

- Checks expressions marked with **analyze**
  - Checks **plug** operations for **gap types**
  - Checks assignments and method input/output for **type annotations**
- exact answers are impossible (Rice's theorem), we settle for **conservative approximations!**

# The main challenges

1. Extract the **control-flow** from the Java program
2. Define a suitable **abstraction** of XML templates
3. Define **data-flow equations** modeling the operations

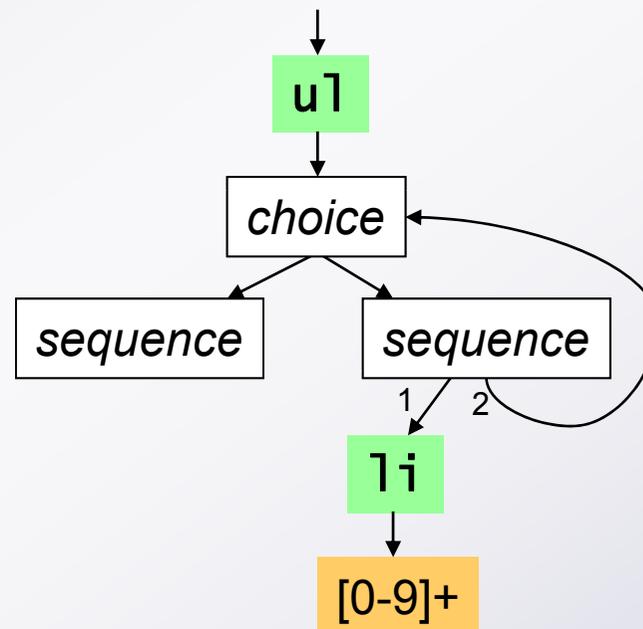
– *immutability of XML templates is crucial here!*

## A suitable abstraction

For each XML expression, construct an **XML graph** that represents the possible values

# XML graphs

- An XML graph represents **a set of XML templates** (like an “XML tree” with loops, choices, named gaps, and regexp text)
- *Example:* `u1` lists with zero or more `1i` items that each contain an integer as text



# From XACT programs to XML graphs

- **Constant** XML template → XML graph
- **XML Schema** type → XML graph
- Model all XML template **operations** and XPath expressions on XML graphs
- Check **inclusion** between XML graph and XML Schema type

– we omit the (many!) technical details...

- <http://www.brics.dk/schematools/>

# Summary

- XACT is a Java API for writing XML transformations
- Key ideas:
  - **immutable XML templates**
  - **XPath for navigation**
  - **DOM-like operations**
  - **optional XML Schema type annotations**
  - **static program analysis for transformation validity**
- <http://www.brics.dk/xact/>